

HEY YOU!

WHAT DO YOU KNOW ABOUT COMPLIANCE DUE DILIGENCE?

Ethics and transparency are critical and non-negotiable at Argos. These principles guide our relationships with our counterparties, such as customers, suppliers, collaborators, shareholders, and contractors.

We must conduct **Due Diligence** to ensure an adequate knowledge of counterparties involved in activities like money laundering, financing of terrorism, bribery, or corruption, so that we can avoid any link with them.



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consists of activities aimed at achieving adequate knowledge of our counterparty, including a research of their background, **representatives** (or executive officers), beneficial owners, shareholders, and board members by searching in databases known as Restrictive Lists*.

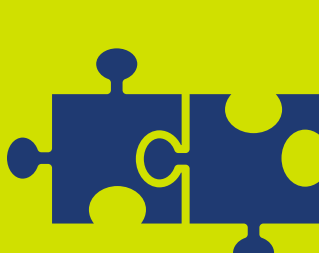
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allows us to flag events associated with unlawful actions by these counterparties and define necessary measures to prevent or mitigate legal, economic, operational, or reputational risks for Argos.



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helps us make informed **decisions** and **ensures** our integrity and legal compliance. We are happy to explain you why this process is crucial and how we do it as everyone makes part of it.

WHY IS IT IMPORTANT?



Risk Prevention: By investigating our counterparties we can point out and prevent potential compliance risks such as fraud, bribery, corruption, legal issues, or questionable reputation, as well as events related with money laundering and financing of terrorism.



Legal Compliance: We ensure compliance with laws and regulations, avoiding fines and sanctions.



Reputation Protection: Working with reliable counterparties protects our goodwill.



Financial Security: We avoid economic losses for the company.

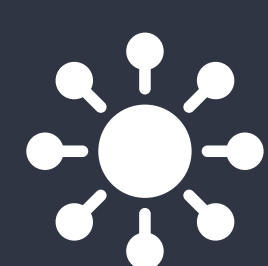
HOW DO WE DO IT?



Information Gathering: We obtain primary data such as the counterparties' name, ID number, and legal and financial background by filling out certain forms.



Verification: We check that the information is accurate and up-to-date.



Risk Assessment: We analyze the obtained data to identify red flags or risks.



Decision Making: We take action based on the results.



HOW DO YOU COLLABORATE WITH THE COMPANY'S COMPLIANCE DUE DILIGENCE PROCESSES?



Acknowledging that Argos has a **Due Diligence** process concerning its counterparties is the first step to avoiding compliance risks. If you participate in linking Argos with a counterparty, ensure that the Due Diligence process is followed.

*Restrictive lists are defined as lists of individuals and entities reported for suspicious activity, investigation, sanction, and procedures for crimes related to money laundering and financing of terrorism and/or their related crimes nationwide and worldwide. Stradata AML.